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5	UNITED STATES D		
6 7	AT SEATTLE		
7 8			
9	EXPERIENCE HENDRIX, L.L.C., a		
10	Washington Limited Liability Company, and AUTHENTIC HENDRIX, LLC, a Washington Limited Liability Company,	No. C09-285Z	
11	Plaintiffs,	ORDER	
12	V.		
13 14	HENDRIXLICENSING.COM, LTD, dba HENDRIX ARTWORK and HENDRIXARTWORK.COM, a Nevada		
15 16	Corporation, and ANDREW PITSICALIS and CHRISTINE RUTH FLAHERTY, husband and wife,		
17	Defendants.		
18	THIS MATTER comes before the Court of	on Plaintiffs' Motion for Partial Summary	
19			
20	this Order, the Court GRANTS the Motion.		
21	BACKGROUND		
22	A. <u>Previous Litigation and Defenda</u>	nts' Marks	
23	Plaintiffs Experience Hendrix, LLC ("Exp	perience Hendrix") and Authentic Hendrix,	
24 25	LLC ("Authentic Hendrix") (collectively, "Plain	tiffs") previously sued Electric Hendrix,	
23 26	LLC in this Court for infringing Plaintiffs trademarks in the sale and advertising of vodka		
20	and other merchandise. Experience Hendrix, LLC et al. v. Electric Hendrix, LLC et al.,		
	ORDER - 1		

C07-338Z. In that case, this Court granted partial summary judgment in favor of Plaintiffs, 1 2 holding that Electric Hendrix's use of JIMI HENDRIX ELECTRIC, JIMI HENDRIX 3 ELECTRIC VODKA, HENDRIX ELECTRIC, and HENDRIX ELECTRIC VODKA, as 4 well as the Hendrix Electric "bust" design (collectively, the "Hendrix Electric Marks") 5 infringed Plaintiffs' incontestable trademarks. Order (C07-338Z, docket no. 104). As a result, the Court entered a permanent injunction against Craig Dieffenbach, Electric Hendrix, 6 7 LLC, and related entities. See Judgment and Permanent Injunction (C07-338Z, docket no. 8 127).

9 Hendrix Licensing.com, Ltd., dba Hendrix Artwork and HendrixArtwork.com, ("Hendrix Licensing"); Andrew Pitsicalis; and Christine Ruth Flaherty (collectively, 10 11 "Defendants") do not deny that Mr. Pitsicalis was formerly associated with Craig Dieffenbach and Electric Hendrix, LLC, as National Licensing Director for House of 12 Hendrix. See John D. Wilson Decl., docket no. 46, ¶ 2, Ex. 1 (Pitsicalis Business Card). In 13 14 2008, Mr. Pitsicalis formed an entity known as HendrixLicensing.com LTD, which markets 15 posters, fine art prints, apparel (including T-shirts), dart boards, pool cues, "pub" glasses, 16 lamps, and other novelty items bearing the name and/or signature of, the likeness of, and/or 17 art created by Jimi Hendrix. Wilson Decl., Ex. 8 (Pitsicalis Depo. (C09-285Z)); Willie Jinka 18 Decl., docket no. 9, Ex. 2 (Fig. 1, 2), Ex. 3 (Fig. 1, 2), Ex. 4 (Fig. 1), Ex. 7 (Fig. 1). 19 Mr. Pitsicalis obtained the rights to Mr. Dieffenbach's non-spirits intellectual property. Wilson Decl., Ex. 3, at HEN 001201 (Agreement for Sale of Dieffenbach Non-Spirits 20 Intellectual Property). Mr. Pitsicalis was aware of the previous suit involving the Hendrix 21 22 Electric Marks, and he knew about the Permanent Injunctions issued in October 2008 and 23 February 2009. Id., Ex. 13 (Lagomarsino Letter), at TANAKA 0039. Mr. Pitsicalis talked with Mr. Dieffenbach about the <u>Electric Hendrix</u> lawsuit, and Mr. Pitsicalis was deposed in 24 25 the Electric Hendrix lawsuit. Id., Ex. 4 (Pitsicalis Depo. (C07-338Z)), at 53.

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# B. <u>Plaintiffs' Marks</u>

2	Plaintiffs offer a variety of goods and services both directly and through licensees	
3	under the marks JIMI HENDRIX, HENDRIX, the JIMI HENDRIX Signature Mark, the Bust	
4	Logo, EXPERIENCE HENDRIX, AUTHENTIC HENDRIX, and variations and	
5	combinations thereof (collectively, "Plaintiffs' Marks"). Janie Hendrix Decl., docket no. 8,	
6	$\P$ 7. A certificate of registration of a mark upon the principal register is prima facie evidence	
7	of the validity of the registered mark and of the registration of the mark, of the registrant's	
8	ownership of the mark, and of the registrant's exclusive right to use the registered mark in	
9	commerce or in connection with the goods or services specified in the certificate, subject to	
10	any conditions or limitations stated in the certificate. 15 U.S.C. § 1057(b). Because	
11	Plaintiffs have registered their marks with the U.S. Patent and Trademark Office, Plaintiffs	
12	marks are presumed valid and enforceable. See Wilson Decl., Ex. 6 (Certificates of	
13	Registration).	
14	Plaintiffs' registered trademarks include the following marks that have become	
15	incontestable (collectively, the "Incontestable Marks"):	
16	(1) AUTHENTIC HENDRIX, registration no. 2,245,408, for online ordering services of music, apparel, and memorabilia related to the	
17	<ul> <li>(2) EXPERIENCE HENDRIX, registration no. 2,245,409, for print</li> </ul>	
18	<ul> <li>(2) End Ender (CE) field (CE) field (CE) for the plant materials, such as magazines and posters regarding the music industry;</li> <li>(3) The EXPERIENCE HENDRIX AND BUST LOGO (design)</li> </ul>	
19	("Plaintiffs' Bust Logo"), registration no. 2,250,912, for use on musical sound recordings;	
20	(4) JIMI HENDRIX, registration no. 2,322,761, for use on clothing such as T-shirts, jackets, and caps; and	
21	<ul> <li>(5) JIMI HENDRIX, registration no. 2,383,500, for use in entertainment services.<sup>1</sup></li> </ul>	
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24	<sup>1</sup> Plaintiffs also claim that they have the following incontestable trademarks: (1) IIMI HENDRIV	
25	registration no. 2,870,475, for compact discs, and (2) Jiwi HENDRIX EXPERIENCE, registration	
26	no. 2,876,479, for digital video discs, phonograph records, and compact discs. However, Plaintiffs did not provide evidence of registration for these marks with Plaintiffs' motion for partial summary judgment. See Wilson Decl., Ex. 6 (Certificates of Registration).	
	ORDER - 3	

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1	Wilson Decl., Ex. 6 (Certificates of Registration), 9 (Trademark Application/Registration	
2	Status Report).	
3	Plaintiffs' contestable marks, which have been registered for less than 5 years,	
4	include:	
5	(1) variations of Plaintiffs' "Bust Logo" for use on a number of products, including ceramic tiles, key chains, afghans, printed matter, etc., see,	
6	<ul> <li>(2) HENDRIX and JIMI HENDRIX on compact discs, stickers, key chains,</li> </ul>	
7	T-shirts, buttons, watches, wall clocks, to advertise entertainment services, etc., <u>see, e.g.</u> , registration no. 3,302,110; and	
8	services, etc., <u>bee, e.g.</u> , registration no. 2,202,110, and	
9	Silvi-He Rix	
10	(3) the JIMI HENDRIX signature mark, , registration no. 3,001,465, for use on printed matter, key chains, clothing, sports	
11	bottles, and for entertainment services.	
12	Id., Ex. 6 (Certificates of Registration), 9 (Trademark Application/Registration Status	
13	Report). This Court previously held that Plaintiffs' Incontestable Marks are strong marks.	
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15	The Court holds that the name Jimi Hendrix is a famous name and that it has	
16	acquired a secondary meaning. Accordingly, the Court holds that the Plaintiffs' incontestable Hendrix-related marks are strong marks	
17	Additionally, the AUTHENTIC HENDRIX BUST [Plaintiffs' Bust Logo] is a high-contrast black and white silhouette image with the word EXPERIENCE	
18	arched above the bust and the word HENDRIX below the bust. The arbitrary nature of this mark's features, such as text placement, artistic choices in image	
19	style, and overall feel also make this a strong mark even apart from the strength of the secondary meaning associated with HENDRIX.	
20	Id.	
21	C. <u>Plaintiffs' Motion for Partial Summary Judgment</u>	
22	This Court entered an Order for Preliminary Injunction, docket no. 31, against	
23	Defendants on July 30, 2009. Plaintiffs now move for partial summary judgment and argue	
24	that Defendants are infringing Plaintiffs' HENDRIX "family" of trademarks by:	
25	(1) using the preliminarily enjoined business names "Hendrix Licensing," "HendrixLicensing.com," "Hendrix Artwork," and	
26	"HendrixArtwork.com";	
	ORDER - 4	

<ul> <li>(2) using the preliminarily enjoined domain name <u>www.hendrixlicensing.com;</u></li> <li>(3) using the preliminarily enjoined domain name <u>www.hendrixartwork.com;</u></li> </ul>
<ul> <li>(4) using Defendants' preliminarily enjoined guitar and "headshot/bust" logo ("Defendants' Headshot Logo"); and</li> </ul>
<ul><li><i>Defendants' Headshot Logo</i></li><li>(5) using Defendants' preliminarily enjoined signature of Jimi Hendrix</li></ul>
("Defendant's Signature Mark").
Defendants' Signature Mark Plaintiffs seek to have Defendants permanently enjoined from using these marks. Plaintiffs reserve for trial their trademark claims regarding Defendants' uses of the marks JIMI HENDRIX and HENDRIX and Defendants' defenses of nominative or fair use, <sup>2</sup> and their claims on damages. Defendants argue that they have ceased using the domain names, as well as Defendants' Headshot Logo, but Defendants do contest the infringing nature of all of Defendants' marks, including the domain names, Defendants' Headshot Logo, and Defendants' Signature mark. <sup>3</sup> Defendants contend that they are making "fair use" of
<ul> <li><sup>2</sup>In response to this partial motion for summary judgment Defendants have raised their defense of nominative or fair use and this Order has addressed these issues.</li> <li><sup>3</sup> Plaintiffs argue that Defendants continue to use the <u>HendrixLicensing.com</u> and <u>HendrixArtwork.com</u> domain names for email communication, Wilson Decl., Ex. 5, and that Defendants' distributors and licensees continue to offer for sale products with Defendants' Signature mark and Defendants' Headshot Logo mark, <u>id.</u>, Ex. 7.</li> <li>ORDER - 5</li> </ul>

HENDRIX in the domain names. In addition, Defendants contend that Defendants' marks 1 2 are not likely to cause confusion with Plaintiffs' marks under <u>AMF Inc. v. Sleekcraft Boats</u>, 3 599 F.2d 341 (9th Cir. 1979).

# DISCUSSION

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#### **Summary Judgment Standard** Α.

The Court must grant summary judgment if no genuine issue of material fact exists 6 and the moving party is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(c). The moving party bears the initial burden of demonstrating the absence of a genuine issue of 8 material fact. Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). A fact is material if it might affect the outcome of the suit under the governing law. Anderson v. Liberty Lobby, 10 Inc., 477 U.S. 242, 248 (1986). In support of its motion for summary judgment, the moving party need not negate the opponent's claim, Celotex, 477 U.S. at 323; rather, the moving party will be entitled to judgment if the evidence is not sufficient for a jury to return a verdict 13 in favor of the opponent, Anderson, 477 U.S. at 249.

When a properly supported motion for summary judgment has been presented, the 15 adverse party "may not rest upon the mere allegations or denials" of its pleadings. Fed. R. 16 Civ. P. 56(e). The non-moving party must set forth "specific facts" demonstrating the 17 existence of a genuine issue for trial. Id.; Anderson, 477 U.S. at 256. A party cannot create a 18 genuine issue of fact by asserting "some metaphysical doubt" as to the material facts. 19 Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). Likewise, 20 discrediting the testimony proffered by the moving party will not usually constitute a 21 sufficient response to a motion for summary judgment. Anderson, 477 U.S. at 256-57. 22

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To survive a motion for summary judgment, the adverse party must present affirmative evidence, which "is to be believed" and from which all "justifiable inferences" are to be favorably drawn. Id. at 255, 257. When the record, however, taken as a whole, could not lead a rational trier of fact to find for the non-moving party, summary judgment is

warranted. <u>See Miller v. Glenn Miller Prod., Inc.</u>, 454 F.3d 975, 932 (9th Cir. 2006); <u>see</u>
<u>also Beard v. Banks</u>, 126 S. Ct. 2572, 2578 (2006) (quoting <u>Celotex</u>, 477 U.S. at 322 ("Rule
56(c) mandates the entry of summary judgment, after adequate time for discovery and upon
motion, against a party who fails to make a showing sufficient to establish the existence of an
element essential to that party's case, and on which that party will bear the burden of proof at
trial.")).

7 To prevail on a trademark infringement claim, a trademark owner must prove that the 8 alleged infringer used the mark at issue in commerce and in connection with the sale, 9 distribution, or advertising of goods or services in connection with which such use "is likely to cause confusion, or to cause mistake, or to deceive." 15 U.S.C. § 1114. Injunctive relief 10 is the remedy of choice for trademark infringement cases and is the remedy provided by 15 11 U.S.C. § 1116(a). Century 21 Real Estate Corp. v. Sandlin, 846 F.2d 1175, 1180 (9th Cir. 12 1988). Broad injunctions are especially appropriate in cases where the infringing use is for a 13 similar service. Id. at 1181. "Because of the intensely factual nature of trademark disputes, 14 15 summary judgment is generally disfavored in the trademark arena." <u>Brother Records, Inc. v.</u> Jardine, 318 F.3d 900, 903 (9th Cir. 2003). 16

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# B. <u>Nominative Fair Use</u>

# 1. <u>Standard</u>

This Court entered a preliminary injunction on July 30, 2009, enjoining Defendants
from maintaining any domain name incorporating the names HENDRIX or JIMI
HENDRIX.<sup>4</sup> Prior to the Court's issuance of the preliminary injunction, Defendants
maintained a website at the URL address <u>www.hendrixartwork.com</u>, Jinka Decl. ¶ 2, Ex. 1
(HendrixArtwork.com News and Events Timeline), and the URL address

 <sup>&</sup>lt;sup>4</sup> Defendants allege that Defendants have abandoned any use of the Hendrix based domain names.
 Response, docket no. 47, at 1. Plaintiffs have, however, produced an email showing that Mr.
 Pitsicalis used his email address at <u>hendrixartwork.com</u> as recently as December 29, 2009, after the Court issued the preliminary injunction in this matter. Wilson Decl., Ex. 5.

www.hendrixlicensing.com, Alfred E. Donohue Decl., docket no. 18. Defendants operate
 under the entity formed by Mr. Pitsicalis as HendrixLicensing.com, Ltd., dba Hendrix
 Artwork and HendrixArtwork.com. Wilson Decl., Ex. 8 (Pitsicalis Dep. (C09-285Z)), at
 0041; Pitsicalis Decl., docket no. 13, at 1.

5 Defendants argue that their use of the name HENDRIX in their URL addresses and business names constitutes a "nominative fair use." Fair use falls into two categories: 6 7 "classic fair use" and "nominative fair use." <u>Cairns v. Franklin Mint Co.</u>, 292 F.3d 1139, 8 1150 (9th Cir. 2002). Classic fair use occurs when a defendant uses a plaintiff's mark to 9 describe the defendant's own product. Id. In contrast, nominative fair use entails a defendant's use of a plaintiff's mark to describe the plaintiff's product. Id. The type of fair 10 11 use at issue dictates which standard the Court should apply in assessing the likelihood of confusion. Id. The nominative fair use test found in Cairns "replaces" the Sleekcraft 12 analysis.<sup>5</sup> Id. at 1150 (emphasis in original); see also Mattel Inc. v. Walking Mountal Prods., 13 353 F.3d 792, 810 n.19 (9th Cir. 2003) ("The nominative fair use test replaces the traditional 14 15 <u>AMF, Inc. v. Sleekcraft Boats</u>, 599 F.2d 341, 348-49 (9th Cir. 1979), analysis." (citing 16 Cairns)). To prevail on a nominative fair use defense, a defendant must show (i) the 17 plaintiff's product or service is not readily identifiable without using the mark, (ii) the 18 defendant has used only so much of the mark as is reasonably necessary to identify the plaintiff's product or service, and (iii) the defendant has done nothing, in conjunction with its 19 use of the mark, that would suggest endorsement by the plaintiff. Cairns, 292 F.3d at 1151 20 (citing New Kids on the Block v. News Am. Publ'g, Inc., 971 F.2d 302, 308 (9th Cir. 1992)). 21 22 Nominative fair use analysis is appropriate whenever a defendant uses a plaintiff's mark to 23 describe the plaintiff's product, even if the defendant's ultimate goal is to describe its own product. Id. 24

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<sup>5</sup> The <u>Sleekcraft</u> analysis will be discussed in Part C. ORDER - 8

The Ninth Circuit case most directly on point with regard to Defendants' use of 1 2 HENDRIX in Defendants' domain and business names is Playboy Enterprises, Inc. v. 3 Welles, 279 F.3d 796 (9th Cir. 2002). In Welles, Terri Welles' use of the title "Playboy 4 Playmate of the Year 1981," and her use of other trademarked terms on her website were at 5 issue. <u>Id.</u> at 799. Welles had been on the cover of Playboy magazine in 1981 and was Playboy Playmate of the Year for 1981. Id. Welles' website contained a biographical 6 7 section that described Welles' selection as Playmate of the Year in 1981 and her years 8 modeling for Playboy Enterprises, Inc. ("PEI"). Id. After PEI's suit against Welles began, 9 Welles included discussions of the suit against her and criticism of PEI on her website; she also include a note disclaiming any association with PEI. Id. 10

11 PEI complained of four uses of its trademarked terms on Welles' website: "(1) the terms 'Playboy' and 'Playmate' in the metatags of the website; (2) the phrase 'Playmate of 12 the Year 1981'on the masthead of the website; (3) the phrases 'Playboy Playmate of the Year 13 1981' and 'Playmate of the Year 1981' on various banner ads, which may be transferred to 14 15 other websites; and (4) the repeated use of the abbreviation 'PMOY '81' as the watermark on 16 the pages of the website." <u>Id.</u> at 800. Applying the three part nominative fair use test, the 17 court concluded that, except for Welles' use of PEI's protected terms in the wallpaper of 18 Welles' website, Welles' uses of PEI's trademarks were permissible, nominative fair uses. 19 Id.

The <u>Welles</u> Court reasoned that PEI's product was PEI's trademarked title, "Playboy Playmate of the Year." <u>Id.</u> at 802. The Ninth Circuit agreed with the district court that it would be impractical and ineffectual in identifying Welles to the public if she were described as the "nude model selected by Mr. Hefner's magazine as its number-one prototypical woman for the year 1981." <u>Id.</u> Thus, the <u>Welles</u> Court concluded that no descriptive substitute existed for PEI's trademarks in the context of Welles' headlines and banner advertisements on her website. <u>Id.</u>

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Turning to the second prong of the nominative fair use analysis, the Welles Court held 2 that because Welles' banner advertisements and headlines used only the trademarked words, 3 and not the font or symbols associated with the trademarks, Welles used "only so much of 4 the mark or marks . . . as is reasonably necessary to identify the product or service." Id. 5 (citing New Kids, 971 F.2d at 308).

Finally, as to the third element of the nominative fair use standard, the Welles Court 6 7 was persuaded that Welles did nothing in connection with her use of PEI's marks in the 8 headlines and banners on her website to suggest sponsorship or endorsement by PEI. Id. at 9 803. The court noted that the marks were clearly used to describe the title Welles received from PEI in 1981 and that the title helps to describe who Welles is. Id. The court noted that 10 "[i]t would be unreasonable to assume that the Chicago Bulls sponsored a website of Michael 11 Jordan's simply because his name appeared with the appellation 'former Chicago Bull.'" Id. 12 Similarly, it would be unreasonable to assume that PEI sponsors or endorses someone who 13 describes herself as a "Playboy Playmate of the Year in 1981." Id. The Court also noted that 14 15 Welles affirmatively disavowed any sponsorship or endorsement by PEI on her website. <u>Id.</u> Thus, the Welles Court concluded that Welles' use of PEI's trademarked terms in the banner 16 17 ads and headlines of her website satisfied the nominative fair use test.<sup>6</sup>

18 The Welles Court held, however, that Welles' repeated use of the abbreviation "PMOY '81," which stands for "Playmate of the Year 1981," on the background or 19

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<sup>21</sup> <sup>6</sup> The <u>Welles</u> Court also concluded that Welles' use of the PEI trademarks in the metatags of her website (which enabled Welles' site to be included in the search results for the terms "playboy" or 22 "playmate" in a search engine that uses metatags) constituted nominative fair use. Id. at 803. The Court noted again that Welles had no practical way of describing herself without using trademarked 23 terms, and that she had no practical way of describing the content of her website without referring to PEI's trademarks. Id. Thus, the court concluded that Welles' use of the trademarked terms in the 24 metatags of her website satisfied the first prong of the nominative fair use test. Id. The Court concluded that Welles' use of the metatags satisfied the second and third prongs of the nominative 25 fair use test as well. Id. at 804. Because Welles used only the trademarked names and because the metatags did not list the trademarked terms so repeatedly that Welles' site regularly appeared above 26 PEI's in searches for one of the trademarked terms, the metatags did not use more of PEI's marks as was reasonably necessary and the metatags did not suggest sponsorship or endorsement by PEI. Id.

wallpaper of her website failed the nominative fair use test. Id. The court noted that Welles' 1 2 picture did not appear before or after "PMOY '81." Id. In addition, the court concluded that 3 the depiction of "PMOY '81" is not necessary to describe Welles, but rather "Playboy 4 Playmate of the Year 1981" is adequate. Id. Because the term "PMOY '81" did not appear to describe Welles when her picture did not appear before or after each "PMOY '81," the use 5 of the abbreviation failed the first prong of the nominative fair use test. Id. Thus, the court 6 7 remanded to the district court the question whether "PMOY" was a protected trademark of 8 PEI. Id. at 805.

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# 2. Defendants' URL Addresses and Business Names

Defendants argue that Defendants' use of HENDRIX in their URL addresses and in their business names is similar to Welles' use of "Playboy Playmate of the Year 1981" in the headline and banner advertisements of her website and of "playboy" and "playmate" in the metatags of her website. <u>See id at 800</u>. However, the Court concludes that Defendants' use of Plaintiffs' mark<sup>7</sup> HENDRIX in Defendants' URL addresses and business names does not constitute a nominative fair use.

The Court finds that Defendants' use of Plaintiffs' trademarked HENDRIX in
Defendants' URL addresses and business names does not describe Plaintiffs' product but
rather Defendants' own product-the marketing and licensing of Jimi Hendrix related goods.
See Cairns, 292 F.3d at 1150. Thus, the Court concludes that the nominative fair use test
does not apply to Defendants' use of Plaintiffs' marks in Defendants' URL addresses and
business names.<sup>8</sup>

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<sup>25</sup> <sup>8</sup>Even if the Court were to conclude that the nominative fair use test should be applied to
 <sup>26</sup> Defendants' use of HENDRIX in Defendants' URL addresses and business names, the Defendants have not satisfied the nominative fair use test. As to the first part of the test, Defendants' use of Plaintiffs' trademarked HENDRIX is not necessary to describe Defendants' products. See Welles,

 <sup>&</sup>lt;sup>7</sup> Plaintiffs have multiple registered trademarks for the marks "HENDRIX," <u>see, e.g.</u>, registration nos. 3,409,133 and 3,302,093, and "JIMI HENDRIX," <u>see, e.g.</u>, registration nos. 2,989,576 and 2,902,769. Wilson Decl., Ex. 6 (Certificates of Registration), 9 (Trademark Application/Registration Status Report).

# C. Likelihood of Confusion

In order for a defendant's use of a plaintiff's trademark to qualify as "classic fair use," 2 3 a defendant must prove that (i) it does not use the term or phrase at issue as a trademark or 4 service mark, (ii) it uses the term or phrase "fairly and in good faith," and (iii) it uses the 5 term or phrase only to describe its goods or services. Cairns, 292 F.3d at 1151. Defendants do not claim that they have made a classic fair use of the marks at issue in Plaintiffs' motion 6 7 for partial summary judgment. Rather, Defendants respond point by point to Plaintiffs' 8 discussion of the eight Sleekcraft factors. Thus, the remaining inquiry is whether 9 Defendants' use of Plaintiffs' marks is likely to cause confusion. For purposes of the Lanham Act, the Ninth Circuit recognizes several different multi-factor tests for assessing 10 whether a likelihood of confusion exists. White v. Samsung Elecs. Am., Inc., 971 F.2d 1395, 11 1400 (9th Cir. 1992). None of these standards has been held "correct to the exclusion of the 12 others," <u>id.</u>; however, the <u>Sleekcraft</u> factors appear to be the most frequently used by the 13 courts in the Ninth Circuit. In applying the Sleekcraft analysis, the "factors should not be 14 15 rigidly weighed" and the Court should "not count beans." <u>Dreamwerks Prod. Group, Inc. v.</u> SKG Studio dba DreamWorks SKG, 142 F.3d 1127, 1129 (9th Cir. 1998). "The test is a 16 17 fluid one and the plaintiff need not satisfy every factor, provided that strong showings are made with respect to some of them." Surfvivor Media, Inc. v. Survivor Prods., 406 F.3d 625, 18 631 (9th Cir. 2005). The relevant, non-exhaustive factors include: (i) the strength of the 19 mark; (ii) the proximity of the goods; (iii) the similarity of the marks; (iv) evidence of actual 20 21 confusion; (v) the marketing channels used; (vi) the type of goods and the degree of care 22 likely to be exercised by the purchaser; (vii) the defendant's intent in selecting the mark; and

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<sup>&</sup>lt;sup>24</sup>
<sup>279</sup> F.3d at 804. Like Welles' use of "PMOY '81" in the wallpaper of her website, Defendants' use of Plaintiffs' HENDRIX mark in Defendants' URL addresses and business names does not appear to describe Jimi Hendrix. <u>See</u> Response, at 3. Defendants' use does not accompany any image of or created by Jimi Hendrix. <u>See id.</u> Thus, the Defendants' use of Plaintiffs' HENDRIX mark in Defendants' URL addresses and business names fails the first prong of the nominative fair use test.

(viii) the likelihood of expansion of the product lines. <u>AMF Inc. v. Sleekcraft Boats</u>, 599
 F.2d 341, 348-49 (9th Cir. 1979).

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# 1. First Sleekcraft Factor: Strength of Plaintiffs' Marks

4 The scope of trademark protection given to a mark depends on the strength of the 5 mark; strong marks, which are inherently distinctive, receive greater protection than weak 6 marks, such as a descriptor. <u>Sleekcraft</u>, 599 F.2d at 349. In the Court's August 7, 2008 7 Order in the Electric Hendrix litigation (C07-338Z, docket no. 104) (citing Pirone v. 8 MacMillian, Inc., 894 F.2d 579, 583 (2d Cir. 1990)), the Court noted that a person's name is 9 a descriptive trademark and requires secondary meaning in order to be afforded trademark protection. When analyzing the strength of a name used as a trademark, the particular facts 10 11 of the name and the goods must be analyzed. See Scarves by Vera, Inc. v. Todo Imports Ltd., 544 F.2d 1167, 1173 (2d Cir. 1976). A famous person's name may have secondary 12 meaning if it is likely to be recognized by prospective purchasers. 13

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#### a. HENDRIX

The Court has previously held that the name Jimi Hendrix is a famous name and that it
has acquired a secondary meaning. Order (C07-338Z, docket no. 104), at 17. Defendants do
not argue that the name HENDRIX alone would not be recognized by prospective
purchasers. Thus, the Court holds that HENDRIX is a famous name and has acquired a
secondary meaning. Accordingly, the Court holds that Plaintiffs' HENDRIX trademarks are
strong marks and this factor can only support infringement.

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# b. Plaintiffs' Bust Logo

Plaintiffs have registered and used a variety of trademarks which incorporate a black
and white silhouette image depicting Jimi Hendrix. See Wilson Decl., Ex. 6 (Certificates of
Registration), 9 (Trademark Application/Registration Status Report) (see, e.g., registration
nos. 2,250,912 and 3,297,741). Plaintiffs' incontestable Bust Logo is a high-contrast black
and white silhouette image with the word EXPERIENCE arched above the bust and the word

HENDRIX below the bust. Wilson Decl., Ex. 6 (Certificates of Registration), 9 (Trademark
Application/Registration Status Report) (registration no. 2,250,912 (Plaintiffs' Incontestable
Bust Logo)). The Court has previously held that the arbitrary nature of this mark's features,
such as text placement, artistic choices in image style, and overall feel, make this mark a
strong one even apart from the strength of the secondary meaning associated with
HENDRIX. Order (C07-338Z, docket no. 104), at 17.

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#### c. Plaintiffs' Signature Mark

8 The Court also holds that Plaintiffs' signature mark is a strong mark. As the Court has previously held, the Jimi Hendrix name has a secondary meaning. Order (C07-338Z, docket 9 10 no. 104), at 17. Plaintiffs' Jimi Hendrix signature mark consists of the Jimi Hendrix name 11 written in script on one line with a more prominent uppercase J and uppercase H to begin each name. Janie Hendrix Decl. ¶ 9; Wilson Decl. Ex. 6 (Certificates of Registration), 9 12 (Trademark Application/Registration Status Report) (see, e.g., registration no. 3,001,465). 13 The Court holds that the arbitrary nature of this mark's features, such as text placement and 14 the use of script lettering make this mark a strong mark even apart from the strength of the 15 16 secondary meaning associated with JIMI HENDRIX as well. See Order (C07-338Z, docket 17 no. 104), at 17.

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# d. Conclusion Regarding the First <u>Sleekcraft</u> Factor

Thus, the Court concludes that the first <u>Sleekcraft</u> factor, the strength of Plaintiffs'
marks, weighs in favor of finding that Defendants have infringed upon Plaintiffs' registered
HENDRIX, Bust Logo, and JIMI HENDRIX Signature marks.

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#### 2. <u>Second Sleekcraft Factor: Proximity or Relatedness of Goods</u>

The second <u>Sleekcraft</u> factor looks at the proximity or relatedness of Plaintiffs' and
Defendants' goods. 599 F.2d at 350. Goods are related if consumers are likely to associate
the two product lines. <u>Surfvivor Media</u>, 406 F.3d at 633. It is undisputed that Defendants
and Plaintiffs each sell or license goods such as T-shirts, posters, household goods, and

jewelry. Response, at 5; Jinka Decl., Ex. 2-4, 6-7. Although Defendants argue that
 Defendants deal in fine art while Plaintiffs do not, Defendants do not cite to any evidence to
 support this claim. Response, at 6. Thus, the second <u>Sleekcraft</u> factor favors Plaintiffs.

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# 3. <u>Third Sleekcraft Factor: Similarity of the Marks</u>

5 When considering the similarity of marks, the marks should be evaluated as they 6 appear in the market place as a whole and the appearance, sound, and meaning of the marks 7 should be analyzed individually. Mattel, Inc. v. MCA Records, Inc., 28 F.Supp.2d 1120, 8 1147 (C.D. Cal. 1998). Defendants argue that sight is the most significant factor in 9 determining similarity when purchases are more likely to occur when browsing in a store or online. Response, at 6 (citing One Indus., LLC v. Jim O'Neal Distrib., Inc., 578 F.3d 1154 10 (9th Cir. 2009), cert. denied, 2010 WL 757711). In O'Neal, the court considered the 11 similarity between marks that appeared on motorcycle helmets.<sup>9</sup> 578 F.3d at 1162. The 12 Court stated that "[b]ecause any consumer confusion in this case would occur as motocross 13 enthusiasts select helmets inside a store or during online browsing, *sight* is significantly more 14 important when comparing these marks than sound or meaning." Id. The court noted that 15 "[v]erbally articulating the rounded O' mark [of O'Neal] or the One Icon [of One Industries], 16 17 or mentally pondering the meaning of the marks, is not part of buying a motorcycle helmet." 18 Id. at 1163.

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# a. URL Addresses and Business Names

The Court finds that Defendants' URL addresses and business names are similar in
sight, sound, and meaning to Plaintiffs' incontestable text marks.<sup>10</sup> Plaintiffs' incontestable

O'Neal, 578 F.3d at 1163 (an O' mark and a One Icon were not marks that a consumer would

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 <sup>&</sup>lt;sup>9</sup> O'Neal's mark at issue consisted of a boxy 'O' with rounded corners followed by an apostrophe.
 <u>O'Neal</u>, 578 F.3d at 1156-57. One Industries' "One Icon" consisted of two interlacing number ones.
 <u>Id.</u> at 1157.

 <sup>&</sup>lt;sup>10</sup> The Court should consider whether Defendants' marks used in Defendants' URL addresses and business names are similar to Plaintiffs' marks in sight, sound, and meaning because neither Defendants' marks used in the URL addresses and business names nor Plaintiff's incontestable text marks are a symbol that one would fail to verbally articulate and ponder the meaning of. See

text marks include AUTHENTIC HENDRIX,<sup>11</sup> EXPERIENCE HENDRIX,<sup>12</sup> and JIMI 1 HENDRIX.<sup>13</sup> Wilson Decl., Ex. 6 (Certificates of Registration), 9 (Trademark 2 3 Application/Registration Status Report). Defendants' business is incorporated as 4 HendrixLicensing.com, Ltd. Pitsicalis Decl., at 1. Defendants' merchandise is sold at the URL address www.hendrixartwork.com. Jinka Decl. ¶ 3 (Fig. 1, 2). All of Defendants' 5 URL addresses and business names share the word HENDRIX in common with Plaintiffs' 6 7 incontestable text marks. This similarity is not surprising as both Plaintiffs and Defendants 8 market goods related to Jimi Hendrix. See Jinka Decl. ¶ 3-7. The primary distinguishing 9 characteristics of Defendants' marks are the use of the words ARTWORK or LICENSING and the placement of ARTWORK or LICENSING after HENDRIX. Considering the sound 10 of Defendants' and Plaintiffs' marks, the Court concludes that Hendrix Artwork and 11 Authentic Hendrix sound similar in that they share the same root of HENDRIX. In addition, 12 ARTWORK and AUTHENTIC both begin with a soft 'a' sound and end with a hard 'c' 13 sound. However, the Court concludes that the similarity between the sound of Hendrix 14 15 Artwork and Experience Hendrix, and between Hendrix Licensing and Authentic Hendrix 16 and Experience Hendrix, is not as strong. Although these marks likewise share the root of 17 HENDRIX, ARTWORK does not share any notable sound with EXPERIENCE, and 18 LICENSING does not share any notable sound with either AUTHENTIC or EXPERIENCE. Thus, the Court concludes that the sound of the marks are somewhat similar. 19

The Court also concludes that the appearance of the marks is similar. Each of
Plaintiffs' incontestable text marks and Defendants' text marks include the root HENDRIX
paired with one other substantive word. As the Ninth Circuit noted in <u>Dreamwerks</u>, 142 F.3d

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- 25 <sup>11</sup> Registration no. 2,245,408.
- 26 <sup>12</sup> Registration no. 2,245,409.
  - <sup>13</sup> Registration no. 2,902,769.

verbally articulate or ponder the meaning of).

at 1131, when considering the similarity of "Dreamwerks" and "DreamWorks," "a perceptive
consumer who does notice the 'e' and lower-case 'w' in Dreamwerks might shrug off the
difference as an intentional modification identifying an ancillary division of the same
company." Similarly, while a consumer might notice that Defendants follow HENDRIX
with either LICENSING or ARTWORK, while Plaintiffs precede HENDRIX with
AUTHENTIC or EXPERIENCE, a consumer might also "shrug off the difference as an
intentional modification identifying an ancillary division of the same company." <u>Id.</u>

8 The overall meaning of the marks is more difficult to determine; however, the Court concludes that there is similarity between the words AUTHENTIC and LICENSING in that 9 they both call to mind authority and ownership on the part of the mark holder. AUTHENTIC 10 11 implies validity of the product, and LICENSING implies a legal right to the product. In 12 addition, the Court should conclude that there is similarity in meaning between AUTHENTIC and ARTWORK. Although AUTHENTIC and ARTWORK both have 13 distinct meanings, when paired with HENDRIX, both imply something created by Jimi 14 Hendrix. 15

Therefore, the Court concludes that Defendants' URL addresses and business names
are similar to Plaintiffs' incontestable text marks in sight, sound, and meaning. This factor
weighs in favor of infringement with relation to Defendants' URL addresses and business
names.

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# b. Defendants' Headshot Logo

Plaintiffs' incontestable design mark depicts a high contrast black and white silhouette
Jimi Hendrix bust with the word EXPERIENCE in capital letters arched over Jimi Hendrix's
head and the word HENDRIX in capital letters below the bust. Wilson Decl., Ex. 6
(Certificates of Registration), 9 (Trademark Application/Registration Status Report)
(registration no. 2,250,912). Defendants' Headshot Logo depicts an image of Jimi Hendrix's
head from the mouth up as part of the head of an electric guitar. See Jinka Decl., Ex. 1, 2

(Fig. 1, 2), 3 (Fig. 3), 4 (Fig. 1), 5 (Fig. 2), 7. The neck of the guitar extends to the left from
 the head, and the word HENDRIX is printed in capital letters over the neck. <u>Id.</u>
 ARTWORK.COM is printed in capital letters on the neck of the guitar. <u>Id.</u>, Ex. 2 (Fig. 1, 2),
 4 (Fig. 1).

5 Two notable similarities are immediately apparent in the appearance of the design marks: the use of the word HENDRIX in all capital letters and the rendering of the image of 6 Jimi Hendrix in a silhouette-like picture.<sup>14</sup> Both images also feature Jimi Hendrix's 7 8 distinctive Afro. There are differences in the design marks as well. Defendants place their 9 text to the left of Jimi Hendrix where Plaintiffs chose to surround the image. Defendants' stylized text is different than Plaintiffs' block font, although both use all capitals. In 10 11 addition, Defendants incorporate a guitar design and Jimi's signature into their design. Overall, however, the Court concludes that the marks convey the same dominant impression 12 when the focal point of both marks is an image of Jimi Hendrix, both refer to Jimi Hendrix 13 by incorporating his name into the design, and both use all capital letters for HENDRIX. For 14 15 these reasons, the Court finds that the design marks have an overall similarity and this factor weighs in favor of Plaintiffs. 16

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# c. Defendants' Signature Mark

Finally, Defendants argue that Defendants' signature mark differs from Plaintiffs'
signature mark when using the test of sight. Although Defendants have highlighted certain
differences, including the relative position of the first and last name, as either one atop the
other or side by side, and the divergent shapes of the capital "J" and the capital "H," see
Response at 6, the Court finds that the two scripts, especially everything following the "H" in
the last name, look virtually identical to "an untrained eye" (the standard presented by
Plaintiffs and not contested by Defendants). The signature mark used by Defendants was

<sup>&</sup>lt;sup>14</sup> Defendants' image of Jimi Hendrix in Defendants' design mark is also very similar to the image of Jimi Hendrix used by Electric Hendrix. <u>Compare</u> Wilson Decl., Ex. 1 (Pitsicalis Business Card) <u>with</u> Jinka Decl., Ex. 2 (Fig. 1, 2) (Defendants' Headshot Logo).

previously used by Electric Hendrix. Wilson Decl., Ex. 4 (Pitsicalis Depo. (C07-338Z)), at
 51. Parties related to Electric Hendrix had sought to modify that Jimi Hendrix signature to
 make it look more similar to Plaintiffs' version of the Jimi Hendrix signature mark. Wilson
 Decl., Ex. 11, at RA0004903 (Email from Craig Dieffenbach) ("[W]e need to replace the 'H'
 in Hendrix to look more like Janie's version."). Thus, the Court concludes that the signature
 marks appear to be similar to each other.

In addition, the Court concludes that the signature marks convey the same meaning.
More than a plain text version of a person's name, a signature generally conveys a special
authorization or approval of a product. Because both Plaintiffs and Defendants use a
signature mark on some of their products, both signature marks convey the same meaning.
<u>See, e.g.</u>, Jinka Decl., Ex. 3 (showing posters sold by both Plaintiffs and Defendants that
incorporate their respective signature marks).

13 The Court finds that the signature marks are similar, and this factor weighs in favor of14 infringement.

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### 4. Fourth Sleekcraft Factor: Evidence of Actual Confusion

Evidence of actual confusion is persuasive evidence that future confusion is likely.
O'Neal, 578 F.3d at 1163. However, because evidence of actual confusion is often difficult
to obtain, its absence is often given little weight. Cohn v. Petsmart, Inc., 281 F.3d 837, 84243 (9th Cir. 2002). Plaintiffs have not presented any evidence of actual confusion caused by
Defendants' marks. Thus, the Court finds that this factor weighs slightly in favor of
Defendants.

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#### Fifth Sleekcraft Factor: Convergence of Marketing Channels

Defendants concede that Plaintiffs and Defendants sell products through similar
marketing channels of online and retail stores for apparel and posters. Response, at 7.
Defendants argue, however, that Plaintiffs and Defendants do not have converging marketing
channels as to fine art of images and likenesses of Jimi Hendrix as well as original visual

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artwork authored by Jimi Hendrix. <u>Id.</u> Defendants do not provide any evidence to support
 this contention. Thus, because Defendants concede that Defendants use similar marketing
 channels to Plaintiffs, the Court finds that this factor weighs in favor of infringement.

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### 6. <u>Sixth Sleekcraft Factor: Degree of Customer Care</u>

5 Defendants concede a general lack of searching discernment of consumers of the 6 apparel and posters marketed by Defendants and Plaintiffs. Response, at 7. However, 7 Defendants argue that consumers of fine art images and likenesses of Jimi Hendrix and of 8 original visual artwork by Jimi Hendrix will not lack searching discernment. Id. In addition, 9 Defendants argue that any lack of discernment by consumers favors Defendants because consumers of Plaintiffs' and Defendants' products will have "little to no concern about origin 10 at all, and make their purchases based on the image, likeness, or authentic visual artwork by 11 or of Jimi Hendrix adorning the product." Id. at 7-8. Defendants, however, do not provide 12 any support for their contentions that consumers of fine art images and likenesses of Jimi 13 Hendrix and artwork by Jimi Hendrix will not lack searching discernment (or even that 14 Defendants market fine art images and likenesses of Jimi Hendrix and visual artwork by Jimi 15 16 Hendrix). See id.

Because Defendants concede that consumers of their products and of Plaintiffs'
products will lack any searching discernment as to origin when purchasing, the Court finds
that this factor weighs in favor of infringement.

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#### 7. <u>Seventh Sleekcraft Factor: Intent of Defendant</u>

A defendant who knowingly crafts his mark to emulate a plaintiff's mark is presumed
to have accomplished his purpose and this intent weighs heavily in favor of a plaintiff.
Sleekcraft, 599 F.2d at 354. Mr. Pitsicalis had knowledge of Plaintiffs' trademark
infringement claims against Electric Hendrix in the Electric Hendrix litigation. Mr. Pitsicalis
was deposed in the Electric Hendrix lawsuit. Wilson Decl., Ex. 4 (Pitsicalis Depo. (C07338Z)); see also Karen Davis Decl., docket no. 10, Ex. 8 (Letter from Plaintiffs' Counsel), 9

(Letter from Defendants' Counsel), 10 (Letter from Plaintiffs' Counsel), 11 (Letter from
 Defendants' Counsel). In addition, Defendants represented through an attorney that Mr.
 Pitsicalis had, individually and through his attorneys, scrutinized the Court's decision in the
 <u>Electric Hendrix</u> litigation involving the Hendrix trademarks, likeness, and image. Wilson
 Decl., Ex. 13, at TANAKA 0039. Defendants also used the same signature mark that was
 used by Electric Hendrix. <u>Id.</u>, Ex. 4 (Pitsicalis Depo. (C07-338Z)), at 51.<sup>15</sup>

Defendants allege that Plaintiffs have provided no evidence to show that Defendants
intentionally crafted their marks to emulate Plaintiffs' marks. Defendants argue that
Defendants "made a strong, good faith effort to *avoid* infringing [Plaintiffs'] marks."
Response, at 8 (emphasis in original). However, Defendants provide no evidence indicating
what effort Defendants made to avoid infringing Plaintiffs marks.

Because Defendants knew of the litigation in the <u>Electric Hendrix</u> lawsuit, and
because Defendants represented through their attorney that Defendants had "scrutinized the
[C]ourt's decision involving the Hendrix trademarks, likeness, and image," before using
Defendants' marks, the Court finds that this factor favors Plaintiffs. <u>See</u> Wilson Decl., Ex.
13, at TANAKA 0039.

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# 8. <u>Eighth Sleekcraft Factor: Likelihood of Expansion</u>

Defendants concede that they will likely expand into other areas that may overlap withPlaintiffs. Thus, the Court finds that this factor weighs in favor of infringement.

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<sup>&</sup>lt;sup>15</sup> In John D. Wilson's declaration, Plaintiffs also allege that Defendants advertised T-shirts with 21 images which are copyrighted by Plaintiffs. Wilson Decl. ¶ 16, Ex. 15 (Defendants' T-shirts with images Plaintiffs allege are copyrighted by Plaintiffs have a red checkmark on them). Plaintiffs 22 provide a copyright registration for images taken by photographer Chuck Boyd; however, Plaintiffs do not indicate which of the images provided were allegedly used by Defendants on Defendants' 23 T-shirt designs. Id. ¶ 15, Ex. 14. In addition, these images are dark, which makes it difficult to compare the images to the allegedly infringing T-shirt designs. See id. Defendants provide 24 evidence that the T-shirt designs match specific photographs which Defendants claim to have legal license to. Michael Biggs Decl., docket no. 48. Because Plaintiffs do not indicate which images are 25 allegedly infringed upon, and because Defendants provide some evidence that the T-shirt designs are not infringing upon Plaintiffs' copyright, the Court does not find Plaintiffs' allegations of copyright 26 infringement to be evidence that Defendants intentionally crafted their marks to emulate Plaintiffs' marks.

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#### D. **Conclusion Re. Likelihood of Confusion**

For the foregoing reasons, the Court finds that, under the <u>Sleekcraft</u> factors, there is a 3 likelihood of confusion caused by Defendants' marks.

CONCLUSION

The Court GRANTS Plaintiffs' Motion for Partial Summary Judgment of Federal Trademark Infringement Claim, docket no. 43. Because the Court finds that Defendants' marks do not fall under the nominative or classic fair use exception, and because the Court finds that Defendants' marks are likely to cause confusion, the Court finds that Defendants' use of Plaintiffs' marks in Defendants' URL addresses and business names, Defendants' use of Defendants' Headshot Logo, and Defendants' use of Defendants' signature mark infringed on Plaintiffs' registered trademarks.

Plaintiffs' counsel shall file a proposed form of permanent injunction consistent with 12 this Order and note the matter on the Court's calendar for the second Friday after filing the 13 proposed injunction. Any objections to form shall be filed by the noting date. No reply shall be filed unless requested by the Court. 15

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IT IS SO ORDERED.

DATED this 19th day of May, 2009.

Thomas S. Zilly United States District Judge